

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been
filed in the U.S. District Court _____ for the District of Delaware _____ on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 19-cv-1691-CFC	DATE FILED 9/9/2019	U.S. DISTRICT COURT for the District of Delaware
PLAINTIFF ZapFraud, Inc.		DEFENDANT Proofpoint, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 10,277,628 B1	4/30/2019	ZapFraud, Inc.
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED 4/24/2020	INCLUDED BY <input checked="" type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 10,609,073 B2	3/31/2020	ZapFraud, Inc.
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT Order adopting the Report and Recommendation that the two patents asserted in these cases(U.S. Patent Nos. 10,277,628 (the #628 patent) and 10,609,073 (the #073 Patent))are directed to patent ineligible subject matter and are therefore invalid issued 3/25/2021 (attached)
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CLERK John A. Cerino	(BY) DEPUTY CLERK /s/N. Fasano	DATE 3/26/2021
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy